

REQUIRED RESPONSE FORM

Grand Jury Report Title :
MENDOCINO COUNTY'S TRAILING INFORMATION TECHNOLOGY

Report Dated : July 16, 2021

Response Form Submitted By:

Chamise Cubbison, Acting Auditor-Controller
Mendocino County Auditor-Controller
501 Low Gap Road, Room 1080 (use address block as inserted on first page)
Ukiah, CA 95482

Response MUST be submitted, per Penal Code §933.05, no later than:

I have reviewed the report and submit my responses to the FINDINGS portion of the report as follows:

- I (we) agree with the Findings numbered:
F10, F11 please see attached _____

- I (we) disagree wholly or partially with the Findings numbered below, and have *attached* a statement specifying any portion of the Finding that are disputed with an explanation of the reasons therefore.

I have reviewed the report and submit my responses to the RECOMMENDATIONS portion of the report as follows:

- The following Recommendation(s) have been implemented and **attached, as required**, is a summary describing the implemented actions:

- The following Recommendation(s) have not yet been implemented, but will be implemented in the future; **attached, as required**, is a time frame for implementation:

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The following Recommendation(s) require further analysis, and **attached, as required**, is an explanation and the scope and parameters of the planned analysis, and a time frame for the matter to be prepared, discussed and approved by the officer and/or director of the agency or department being investigated or reviewed: (This time frame shall not exceed six (6) months from the date of publication of the Grand Jury Report)

The following Recommendations will NOT be implemented because they are not warranted and/or are not deemed reasonable; **attached, as required**, is an explanation therefore:

R6, R8 please see attached

I have completed the above responses, and have attached, as required the following number of pages to this response form:

Number of Pages attached: 1

I understand that responses to Grand Jury Reports are public records. They will be posted on the Grand Jury website: www.mendocinocounty.org/government/grand-jury. The clerk of the responding agency is required to maintain a copy of the response.

I understand that I must submit this signed response form and any attachments as follows:

First Step: E-mail in pdf file format to:

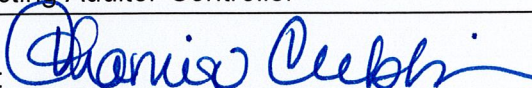
- The Grand Jury Foreperson at: grandjury@mendocinocounty.org
- The Presiding Judge: grandjury@mendocino.courts.ca.gov

Second Step: Mail all originals to:

Mendocino County Grand Jury
P.O. Box 939
Ukiah, CA 95482

Printed Name: Chamise Cubbison

Title: Acting Auditor-Controller

Signed:  Date: 09/14/2021

REQUIRED RESPONSES

FINDINGS

F10. The County's efforts to secure its systems has not been evaluated by an independent audit in more than fifteen years which presents a considerable risk of systems compromise or ransomware attack

Agree - The Auditor-Controller would recommend and participate in financial independent audits. Operational independent audits would be the responsibility of the department or directed by the Board of Supervisors or Chief Executive Officer.

F11. The Auditor/Controller has not established the accounting procedure for the mandated funding reserve established by County Policy 35 which is obsolete and inadequately capped at \$850,000.

Agree - The Auditor-Controller was given no authority for the action in Policy 35. Information Services and the Chief Executive Officer have included recommendations for funding a reserve through the annual budget process at various levels of funding.

RECOMMENDATIONS

R6. the BOS revise Policy 35 by October 1, 2021 and base its funding on the capital cost needs established in the ITMP. (F3, F4, F7-F9, F11)

This recommendation will not be implemented by the Auditor-Controller. Any revision and/or timeframe to change Policy 35 would be determined by the Board of Supervisors.

R8. the Auditor/Controller, as part of their responsibility for safeguarding County assets, engage an independent outside auditor to evaluate the County's systems, website and network security and recommend corrective actions. This is in addition to continued quarterly phishing testing. (F10)

This recommendation will not be implemented by the Auditor-Controller. Operational independent audits would be the responsibility of the department or directed by the Board of Supervisors or Chief Executive Officer.